

1 **Having the heart to fly. Neontological insights on cardiac performance in the**
2 **evolution of avian flight**

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24 Running head: Heart size in tinamous limits aerobic performance

25 **ABSTRACT**

26 Interpretations on the origin of sustained flight in birds are mainly driven by biomechanical
27 studies from fossil evidence and have largely neglected how the metabolic requirements of
28 flight would have been supported. We studied tinamous, a taxon of flying palaeognathous
29 birds with the smallest heart among birds to evaluate the hypothesis that heart size restricts
30 aerobic performance and hinders sustained flapping flight, findings that could provide new
31 arguments to the avian flight evolution debate. We demonstrate that the ventricle in
32 tinamous is the smallest among all other bird families, 0.30% on average, much smaller
33 than the average ventricular size for all other bird families, 1.1%. The smaller ventricular
34 size is correlated with differential gene expression in MAPK terminal kinases in the Ornate
35 Tinamou (No) and the Chilean Tinamou (Np) in comparison to the Red Junglefowl (Gg).
36 In the same line, the growth pattern of the ventricles in tinamous is comparable to the
37 American alligator, an archosaurian reptile. The small ventricular size significantly reduced
38 cardiac output in No without limiting perfusion pressures, which were similar to Gg values
39 in anesthetized individuals (121 ± 18 mmHg in No vs. 98 ± 7 mmHg in Gg). When
40 challenged to fly and run, lactate values increased significantly more in No (15.7 mM) and
41 Np (18.7 mM) in comparison to Gg (9.2 mM) and excess post-exercise oxygen
42 consumption was 6-fold larger in both tinamou species. Body temperature regulation was
43 also impaired in No and dropped significantly by more than 1°C for longer than 2 h post-
44 challenge. All these features support the idea that the small heart of tinamous is a
45 plesiomorphic trait and that their ground-up, short and not sustained flapping flight
46 represents an intermediate step in the evolution of the aerobic sustained flapping flight of
47 modern birds. This evidence lead us to formulate a new hypothesis, the “heart to fly”

48 hypothesis that states that sustained flapping flight in modern birds required an enlargement
49 of the heart for the aerobic performance of the flight muscles.

50

51 INTRODUCTION

52 The evolutionary origin of avian flight has been long a matter of scientific debate
53 without unanimous agreement ([1-3] to mention a few of the latest studies). Flight could
54 have appeared already in paravians as *Anchiornis* [4], in the most ancient true birds such as
55 *Archaeopteryx* [5] or in more advanced taxa such as Ornithuromorpha [6]. Flight requires a
56 suite of morphofunctional traits to steer and coordinate such locomotory pattern, *i.e.*
57 feathers, muscles and the skeleton. These features have been studied in many of the
58 Mesozoic fossils in the last two decades to paint a complex scenario in which late dinosaurs
59 and early birds experimented with different airborne gliding or flying behaviors [7].
60 Ultimately, sustained flapping flight became the successful alternative adopted by birds to
61 be subsequently refined by gliding or hovering in some species. These conclusions have
62 been reached from fossilized specimens and biomechanical models derived from them [8-
63 11]. Therefore, these conclusions rely on the implicit and unspoken assumption that other
64 physiological processes required for flight must have evolved in tune with flight
65 biomechanics.

66 Flight is an energetically costly activity and the cardiovascular system is of crucial
67 importance to support the elevation in metabolism associated with it [12]. Unfortunately
68 this basic tenet has not been considered in the discussion of flight evolution, most likely
69 because soft tissues fossilize poorly and data on cardiovascular variables cannot be directly
70 measured. Relevant progress, however, has been made in other fields and neontological
71 studies on extant bird species focusing on postnatal locomotor development [13, 14] or
72 limb functional morphology [15] are providing substantial arguments to interpret the
73 evolution of avian flight.

74 Heart size and maximal metabolic rate scale similarly to body mass, which suggests
75 that cardiac size limits maximal aerobic scope and, consequently, maximal metabolism
76 during flight [16]. Therefore, our main argument is that evolutionary modifications in the
77 cardiovascular system would have been critical to support the high aerobic demand of
78 sustained flight and that a suitable musculoskeletal arrangement is not sufficient to prove
79 flight capabilities. Thus, the appearance of flapping flight cannot be unequivocally coupled
80 to sustaining aerobic flight unless the cardiovascular system made it possible.

81 For this purpose we chose several species from the tinamou family because there is
82 circumstantial evidence that they have the smallest heart among birds [17-19], about 0.3%
83 of body mass, which is several times smaller than in phasianids (1.03%), an ecologically
84 convergent group (summarized graphically in Sup.Fig.1). Tinamous are the only living
85 flying paleognaths, a clade shared with the flightless Ratites (ostriches, emus, rheas,
86 cassowaries and kiwis). In the evolution of Neornithes or “modern birds”, Palaeognathae
87 split from Neognathae (all the other living birds) in the Cretaceous [20-22] (to name a few
88 supporting studies). Tinamous only perform short and burst flapping flights initiated from
89 the ground [23, 24] and it has been argued that the small heart limits flight [25].

90 We devised our study to evaluate the physiological performance of the heart in
91 tinamous; to confirm and unequivocally document the ontogeny of cardiac growth and its
92 adult morphometry; and to provide evidence of putative gene regulatory pathways involved
93 in the architecture of cardiac size. Therefore, we used two tinamou species from the same
94 genus, the Ornate Tinamou (*Nothoprocta ornata*) found in the Andean Highlands of Peru,
95 Bolivia, Argentina and Chile (altitude range 2500 - 4800 m) and the Chilean Tinamou
96 (*Nothoprocta perdicaria*) found in lowland areas in Chile. These species were compared
97 with Red Junglefowl (*Gallus gallus*) a neognath species with a larger heart size but a

98 similar flight mode, i.e. a short not-sustained flapping flight. We also included data from an
99 outgroup species, the American alligator (*Alligator mississippiensis*) to analyze the
100 comparative ontogeny of cardiac growth. Crocodylians are the only extant non-avian
101 archosaurs and have a typical reptilian small heart [26, 27].

102 Our hypothesis was that the relative small size of the heart in tinamous would
103 restrict their aerobic performance and thermoregulatory capacity, and if the small size of
104 the heart is phylogenetically related to reptiles, this could be observed in their ontogenic
105 development. This multi-level and integrated approach intends to explore proximal and
106 ultimate causes for a morphological trait in living birds that has important implications for
107 the interpretation of the evolution of avian flight.

108

109 **RESULTS**

110 We characterized cardiac growth using power allometric equations ($VM = a BM^b$
111 where VM is ventricular mass and BM is body mass) to the data for each species (Figure 1).
112 The equations differed significantly in the mass exponent between Red Junglefowl and the
113 tinamous and the alligator. For an individual with a body mass of 700g, relative ventricular
114 mass would be 0.21% in the alligator and the Ornate Tinamou, 0.24% in the Chilean
115 Tinamou and significantly larger (0.42%) in the Red Junglefowl. The emerging pattern
116 from the data is that cardiac growth in tinamous and alligators follows a similar trajectory
117 while in Red Junglefowl cardiac growth is increased throughout life. The differences are
118 not apparent during early development and the cardiac growth curves start splitting at a
119 body mass approximately above 80 g, which corresponds to an approximate age of 2-4
120 weeks.

121 Ornate Tinamous had the smallest relative ventricular size of all three species with a
122 ventricular index of 0.24% (SD 0.03, N=45) followed by Chilean Tinamous (0.28% SD
123 0.04, N=40) and Red Junglefowl, with the largest ventricular sizes and a significant
124 difference between males and females (0.42% SD 0.05, N=70 and 0.36% SD 0.05, N=68
125 respectively) as shown in Figure 2A. The small ventricular size can be generalized to the
126 entire family Tinamidae regardless of habitat or altitudinal distribution (Table 1). Data on
127 ventricular mass from 13 species from both tinamou subfamilies gives a consistently small
128 ventricular mass, ranging between 0.34% in the Small-billed Tinamou *Crypturellus*
129 *parvirostris* and 0.15% in the Great Tinamou *Tinamus major*. This pattern is clearly evident
130 when accounting for relative heart size in all the bird species measured to date as compiled
131 by Nespolo and the authors [28] and graphically shown in Suppl.Figure 1.

132 Relative right ventricular mass was also highest in Red Junglefowl (21.8% SD 2.3,
133 N=38) than in both tinamous (16.9 % in Ornate Tinamou and 15.7% in Chilean Tinamou)
134 as shown in Figure 2B. Despite having smaller hearts, the left ventricular wall was thicker
135 in tinamous than in Red Junglefowl (Figure 2C). In Red Junglefowl, the left ventricular
136 wall accounted for 23% (SD 4, N=10) of the diameter of the heart and this was larger in the
137 Chilean Tinamou (25%, SD 2, N=14) and even larger in the Ornate Tinamou (33%, SD 5,
138 N=22). These values are comparable to those obtained in ethanol preserved hearts from
139 other tinamou species, which ranged from 25% in the White-bellied Nothura *Nothura*
140 *boraquira* to 32% in the Small-billed Tinamou *Crypturellus parvirostris* (Figure 2D).

141 Measurements of wall thickness using echocardiography in conscious tinamous are
142 comparable to the morphometric measurements. They are not equivalent because they were
143 not performed at the same anatomical landmark. Left ventricular wall thickness was not
144 significantly different between Ornate Tinamous and bantam chickens (21% in the tinamou

145 vs. 17% in the bantam chicken ($p=0.08$) in diastole; 37% vs. 32% respectively ($p=0.12$) in
146 systole, Figure 3A). Fractional shortening in conscious animals (Figure 3B) and heart rate
147 in conscious (Figure 3C) or anesthetized animals (Figure 4B) did not differ either and mean
148 arterial pressure was comparable between Red Junglefowl and Orate Tinamou (Figure 4A).
149 Mean arterial pressure was 115 mmHg (SD 30) in Orate Tinamou and 136 mmHg (SD 30)
150 in Red Junglefowl. Heart rate was 259 beats per minute (SD 65) in Orate Tinamou and
151 295 beats per minute (SD 52) in Red Junglefowl. Isoprenaline, a beta-adrenergic receptor
152 agonist, at a dose of 3 $\mu\text{g kg}^{-1}$ did not significantly stimulate cardiac function but a highly
153 significant difference in cardiac output and stroke volume was observed (Figure 4CD).
154 Cardiac Output was only 98 $\text{ml min}^{-1} \text{kg}^{-1}$ (SD 37) in Orate Tinamou. It was 2.8 fold
155 larger in Red Junglefowl (276 $\text{ml min}^{-1} \text{kg}^{-1}$, SD 84). Stroke volume was 0.37 ml kg^{-1} (SD
156 0.08) in Orate Tinamou and 2.5 fold larger in Red Junglefowl (0.93 ml kg^{-1} , SD 0.17).
157 Altogether, the results point out that the small size of the tinamou heart limits cardiac
158 output but not the capability of the heart to generate pressure.

159 Resting metabolic rate 70-90 min after an aerobic challenge did not differ between
160 species: 1.05 $\text{mlO}_2 \text{g}^{-1} \text{h}^{-1}$ (SD 0.07 N=5) in Red Junglefowl (Figure 5A) vs. 0.91 $\text{mlO}_2 \text{g}^{-1}$
161 h^{-1} (SD 0.07 N=10) in the Chilean Tinamou (Figure 5B) and 0.98 $\text{mlO}_2 \text{g}^{-1} \text{h}^{-1}$ (SD 0.18
162 N=6) in the Orate Tinamou (Figure 5C) but excess post-exercise oxygen consumption
163 (EPOC) was almost 6-fold larger in both tinamou species. EPOC averaged 297 $\text{mlO}_2 \text{kg}^{-1}$ in
164 tinamous and was only 50 $\text{mlO}_2 \text{kg}^{-1}$ in Red Junglefowl (Figure 5D). This is also reflected
165 in the lactate values reached after the aerobic challenge (Figure 5E), which were
166 significantly higher in tinamous (18.7 mM SD 1.7, N=8 in Chilean Tinamou and 15.7 mM
167 SD 0.9, N=16 in Orate Tinamou) than in Red Junglefowl (9.2 mM SD 1.5, N=6).

168 Cloacal temperatures were more labile in the Ornate Tinamou than in the Red
169 Junglefowl and increased significantly after the chase-challenge (Figure 6). In the colder
170 environment (5 °C) cloacal temperature was lower than in the warmer thermoneutral
171 environment (25°C) only in the tinamou, 39.9°C (SD 0.2 N=6) vs. 40.4°C (SD 0.3 N=6).
172 Forty minutes after the chase-challenge cloacal temperature had dropped significantly by
173 1°C at both thermal environments, to 38.5°C (SD 0.5 N=6) at 5°C and to 39.5°C (SD 0.6
174 N=6) at 25°C, and body temperature remained low even 2h after the challenge. The lability
175 in body temperature was not seen in Red Junglefowl (Figure 6 and Suppl. Figure 2).

176 To further explore the heart size differences we focused on the gene expression of
177 four kinases in the PI3K/Akt and MAPK signaling pathways: ERK (gene *ERK2*), JNK
178 (gene *JNK1*), p38 (gene *p38*) and PI3K (gene *PIK3CA*). We found a consistent
179 downregulation in *ERK2* (Figure 7A) and an upregulation in *p38* (Figure 7B) in both
180 tinamou species in relation to the Red Junglefowl. *ERK2* was downregulated down to 14%
181 of the Red Junglefowl values in both species and *p38* was upregulated 10-fold and 14-fold
182 in the Chilean Tinamou and the Ornate Tinamou respectively. *JNK1*, on the other hand,
183 was significantly 5.5-fold upregulated in the Chilean Tinamou, but only 1.8-fold
184 upregulated in the Ornate Tinamou not reaching statistical significance (Figure 7C). No
185 significant differences were found for *PIK3CA* (Figure 7D).

186

187 **DISCUSSION**

188

189 **The tinamou heart can generate pressure but not flow**

190 Our results confirm that the heart of tinamous is the smallest among all extant bird
191 species [25, 28]. This is true for all thirteen tinamou species studied to date (by us or by

192 others) and the inter-specific variation is not related to altitudinal or latitudinal geographic
193 distribution, habitat or their belonging to the subfamily Tinaminae or Rhynchotinae (Table
194 1). The small heart size inevitably limits its functional output and mass specific cardiac
195 output and stroke volume in the Ornate Tinamou are less than half the chicken values
196 (Fig.4C-D), the values obtained in neognathous species [29], and even in other
197 palaeognathous birds such as the emu [30]. Such low stroke volumes ($0.34\text{-}0.4\text{ ml min}^{-1}\text{ kg}^{-1}$)
198 are comparable to those in alligators [31] but the faster heart rates in tinamous account
199 for five-fold larger cardiac outputs.

200 Cardiac output is limited but cardiac contractility is not compromised. Fractional
201 shortening is high and comparable to equivalent measurements in bantam chickens (Fig.3B)
202 and White Leghorn chickens [32]. The left ventricle of the Ornate Tinamou is competent to
203 generate bird-like mean arterial pressures (above 120 mmHg, Fig.3A) while maintaining
204 bird-like heart rates (above 290 min^{-1} during anesthesia and above 380 min^{-1} in conscious
205 animals, Figs.4B and 3C respectively).

206 The novel observation of crocodilian-like stroke volumes and reduced cardiac outputs
207 combined with normal arterial pressures is a key finding for a bird species and provides the
208 first clue of the primitivism of tinamous. We sustain that the tinamous achieved a high
209 systemic pressure taking advantage of the thickening of the left ventricle (Fig.2C) without
210 an enlargement of the cardiac chambers. Because of the small chamber size, a modest
211 thickening of the left ventricular wall would facilitate the development of higher pressures
212 without incurring in excessive wall tensions as predicted by the principle of Laplace [33].
213 Bantam chickens at altitude also displayed a thicker left ventricular wall (Fig.3A) but this is
214 due to a hypertrophic response to altitude acclimatization [34].

215

216 The cardiac morphology and physiology of the tinamous limits aerobic performance

217 Ventricular size and its flow-limited capability have no effect on resting metabolism
218 in thermoneutral conditions. Oxygen consumption of the Ornate Tinamou and the Chilean
219 Tinamou is not different from the measures in Red Junglefowl under similar experimental
220 conditions (close to $1 \text{ ml O}_2 \text{ g}^{-1} \text{ h}^{-1}$). Our values for Chilean Tinamous are 32% higher than
221 those obtained previously [35], but they are more robust considering sample sizes and the
222 fact that there was an agreement between the two tinamou species. The values contrast with
223 the lower resting metabolism of Ratites, which is likely due to the reduction in pectoral
224 muscle and the loss of flight instead of phylogeny, as pointed out in large interspecific
225 studies of metabolism [36, 37]. Our metabolic measurements are consistent with the fact
226 that tinamous have well developed pectoral muscles [38], with percentage values of
227 pectoral mass ranging between those in many other bird families as shown in
228 Supplementary Figure 3.

229 Albeit not in resting conditions, the small heart in tinamous is a relevant hindrance
230 when demand for oxygen supply increases. When both tinamou species are challenged to
231 fly and perform above resting levels, they reach exhaustion quickly while significantly
232 accumulating lactate because during this period the heart is unable to supply enough
233 oxygen to the body. Large increases in lactate are also observed in restrained estuarine
234 crocodiles with slow recoveries [39]. Aerobic metabolism after the exhaustion challenge,
235 the so called post-exercise oxygen consumption (EPOC) [40] was also remarkably long-
236 lasted (60 min) for tinamous but almost absent for Red Junglefowl, despite the fact that
237 lactate also increased in the latter. Lactate oxidation is not the main metabolic burden post-
238 exercise [41] so the main conclusion from our results is that the larger lactate release and
239 the significant EPOC response reflect that the exhaustion challenge requires an anaerobic

240 contribution that is also considerably longer than what is seen in mammals (17 min in sprint
241 wheel running in mice for example [42]). From a comparative point of view, the tinamou
242 EPOC could be considered more reptilian than avian [43] and we infer that the cardiac
243 performance of tinamous upon aerobic challenges is reptilian-like, once again supporting
244 the primitivism of tinamous.

245 The aerobic challenge also imposed limitations to the ability to regulate body
246 temperature. Basal cloacal temperatures in the Ornate Tinamou are clearly bird-like albeit
247 on the lower part of the range [44]. Body temperature drops below resting values for a
248 period longer than 2h and the effect is enhanced at lower ambient temperatures. The
249 striking heterothermic swing (rise in body temperature during exhaustion and drop
250 thereafter) is likely magnified by the experimental conditions where the animals could not
251 use behavioral thermoregulation but it was not seen in bantam chickens, which attests to the
252 physiological difference between species. To distinguish if this observation is an adaptive
253 energy-sparing mechanism or a maladaptive consequence of hyperventilation-driven body
254 cooling further studies are needed, but the body temperature of the Ornate Tinamou clearly
255 shows greater variations than chickens and also a larger dependence on ambient
256 temperatures.

257 It is important to emphasize that the physiological limitations ascribed to a smaller
258 heart mainly apply to challenging scenarios such as the exhaustive bouts of exercise
259 imposed experimentally or the explosive burst of flight experienced in the wild when
260 escaping from potential predators, which could be the most significant aerobic challenges a
261 tinamou is exposed to attending to the EPOC measured [43]. In resting conditions tinamous
262 are not metabolically challenged and remain thermally stable. The flight performance in
263 tinamous is highly conditioned by their small heart and this could explain why tinamous

264 avoid flying as much as possible. Tinamous prefer to escape from predators walking and
265 running and use their cryptic plumage and secretive habits as the main antipredatory
266 mechanism. When they do not have any other option but flying, they do it in a burst-like
267 manner with a powerful jump. Such flapping flight, normally accompanied with a strident
268 vocalization, can be performed two or three consecutive times before the bird is unable to
269 fly again and it is possible to hand-catch them [23, 45] and our own field observations). We
270 call this type of flight as non-sustained flapping flight (NSFF) with the objective to
271 differentiate from the aerobic sustained flapping flight (SFF) that is observed in the
272 majority of neognathous birds.

273

274

275 **The ontogenic development of the tinamous' heart show a clear signal of primitivism**

276

277 The ontogenic development of the heart of both tinamou species differs widely from
278 that of the Red Junglefowl but otherwise is remarkably alike that of the alligator. Estimated
279 relative ventricular mass at adulthood is 0.21-0.24%, similar to alligator published values
280 [26, 27]. Thus, we speculate that the heart in neognathous birds display a larger
281 proliferative activity that would yield a bigger heart. The similarity between cardiac growth
282 between tinamous and alligators but not Red Junglefowl is hard to ascribe to a casual or
283 convergent process, and the most parsimonious interpretation is that the neognathous larger
284 heart is an evolutionary novelty in comparison to the tinamou heart that is more reptilian
285 like and could be assumed as primitive.

286 The genetic mechanisms behind the differential cardiac growth in bird species are
287 mostly unknown so we singled out PI3K/Akt and MAPK pathways as a first approach

288 because of their prominent role in cardiac development and plasticity in mammals [46, 47].
289 MAPK activation, mainly ERK, also regulates cardiogenesis and differential cell lineage
290 growth in the embryonic chicken heart [48]. The expression pattern that we report for the
291 three terminal MAPKs and for PI3K is consistent with the knowledge in mammalian
292 myocardial tissue [47]. We speculate that the observed ERK upregulation in Red
293 Junglefowl promotes cardiac growth resulting from growth-factor mediated physiological
294 hypertrophy [47]. On the other hand, the stress-activated kinases, p38 and JNK, are either
295 upregulated or do not change in tinamous, which is congruent with the antagonistic effects
296 reported for both JNK [49] and p38 [50] on mammalian cardiac growth. Altogether, a
297 reduced ERK expression and an enlarged JNK/p38 expression of tinamous may be a
298 signature of a poor cardiac proliferative capability in primitive birds, speculations that
299 require further studies.

300

301 **The “heart to fly” hypothesis on the acquisition of sustained flapping flight in**
302 **Neornithes: “sustained flapping flight requires a large heart for the aerobic**
303 **performance of the flight muscles”**

304

305 Based on all our evidence, we propose that the small heart size in tinamous is a
306 plesiomorphic trait for all Neornithes which was likely shared with archosaurian ancestors.
307 The lack of fossil evidence for dinosaurian or primitive avian hearts prevents direct
308 inferences as to the size of their hearts [51, 52] but the evidence that several avian
309 characteristics were acquired progressively along avian evolution, for instance a
310 unidirectional air ventilation and high metabolic rates [6], supports our interpretation that
311 novel metabolic demands imposed a strong adaptive pressure on the reptilian heart to

312 increase blood systemic pressure and metabolic rates and to perform some kind of flapping
313 flight, more like a NSFF as the first step.

314 Support for the plesiomorphy of the small heart comes from the fact that among all
315 neognathous birds, no reduction of the flight capacity has been associated with a reduction
316 in heart size [28] despite the fact that pectoral muscle mass is actually reduced [36, 37]. The
317 alternative evolutionary scenario in which the small tinamou heart derived from a larger
318 heart in ancestral species with good flight capabilities cannot be fully discarded but is less
319 parsimonious. If a small heart and NSFF are derived characters, what selection pressures
320 could be behind? Note that tinamous need to constantly use cryptic behaviors that restrict
321 their foraging and reproduction niches, they are unable to use flight for migration or
322 dispersion, and they are highly susceptible to predation when exhausted. None of these
323 seems to favor a positive selection pressure for a secondary acquisition of a small heart.

324 Along the phylogenetic history of birds, the acquisition of a well-developed pectoral
325 musculature (inferred from osteological characters, *i.e.* the progressive increase of the
326 carina in the sternum) has been directly coupled to the capacity for powered flapping and
327 sustained flight, and some authors have even ventured that birds without carina and pectoral
328 musculature such as *Archaeopteryx* could have displayed powered flapping flight [5, 11,
329 53]. Our physiological data does not support the claim that avian species preceding
330 Neornithes would have been capable of sustained flapping flight (SFF). Ruben (1991)
331 actually proposed that an anaerobic reptile-like mode of muscular performance would be
332 enough to let *Archaeopteryx* to take flight from the ground and to have a prolonged SFF
333 [11]. We provide evidence that a high aerobic capacity is required for SFF based on the fact
334 that tinamous are metabolically limited and cannot perform SFF.

335 Tinamous are ground-dwelling birds that are able to take off from the ground and
336 display NSFF [23, 45]. All the osteological and muscular machinery of tinamous belong to
337 a Neornithine flying bird, but our results show that even in the presence of a big carinated
338 sternum that supports enough pectoral musculature (Supplementary Figure 3), a small heart
339 limits aerobic scope and is not compatible with SFF. Although in general terms NSFF is
340 present even in some Neognathous birds as for example phasianids, the metabolic
341 characteristics differ widely as our results in the Red Junglefowl show.

342 The evolutionary way in which the “modern bird flight” appeared in the avian lineage
343 from their reptilian ancestors has been heavily debated for decades [1-3, 54]. The trees-
344 down flight hypothesis considers that the powered flight capacity was acquired from
345 arboreal gliding animals. In accordance with this point of view flapping flight would have
346 preceded the ability to initiate flight from the ground. An alternative hypothesis proposes
347 that powered flight first required the capacity to take up the flight from the ground. Our
348 hypothesis fits better with the second point of view and is not in conflict with the fact that
349 several fossil non-Neornithes avian lineages would have been arboreal or gliders because
350 animals without an adequate aerobic physiological capacity could have taken the advantage
351 of climbing trees or reaching other elevated points in order to glide but not to perform a
352 SFF. In this situation the control of gliding and landing could explain the early evolution of
353 complex wings and feathers, including the presence of the alula [55-57].

354 With the evidence that the cardiac morphology and physiology of tinamous is not
355 suited for sustained flight we propose a new hypothesis on the acquisition of flight in
356 Neornithes. The hypothesis, which we call the “heart to fly” hypothesis states that sustained
357 flapping flight requires a large heart for the aerobic performance of the to the flight muscles.
358 In consequence, the NSFF of tinamous is primitive and represents the intermediate flight

359 strategy between the non-Neornithes avian fossils and the modern neognathes. In this
360 scenario, the typical jumping take-off from the ground followed by the NSFF that tinamous
361 perform today is a reflex of the putative first type of flight performed by Neornithes, point
362 of view that is in agreement with the neontological-based proposals of the evolution of the
363 avian flight [14, 58].

364 Based on the new hypothesis we envision the evolutionary history of modern birds as
365 two different paths from an ancestor with a small heart and NSFF, much like the extant
366 tinamous. The first path is the one exemplified by Ratites, in which the loss of the flight
367 capacity (including the loss of all the pectoral musculature and their skeletal support) was
368 aimed at optimizing cursorial abilities for better foraging and predator evasion. The second
369 path is the one followed by Neognaths in which SFF was possible because of the larger
370 heart and the suitable musculoskeletal organization were already in place. Only at this point,
371 the adequate increase in stroke volume and cardiac output allowed for the real conquest of
372 the air for modern Neognaths, and from here the evolution of other types of flight as gliding,
373 soaring, hovering and even returning to short flapping flights and flightlessness.

374 Two potential conflicts with the “heart to fly” hypothesis require further discussion,
375 namely the larger heart mass of Ratites and the assumed flight capabilities of Lithornitids,
376 an extinct paleognath clade.

377 First, the heart in the few Ratites species studied to date, i.e. Ostrich, Emu and
378 Greater Rhea is not small and fall in the range of most Neognath families (Suppl. Figure 1).
379 Because Ratites do not fly we propose that cardiac enlargement in birds occurred
380 independently more than once. For ratites the selective pressure could have been the need
381 for running endurance. Ostriches, for example, are acknowledged as the fastest bipeds with
382 the largest capacity for long-endurance running [59]. Emus, on the other hand, have aerobic

383 scopes in the range of 11-36 times basal metabolic rates while running [30, 60], values that
384 are higher than the aerobic scopes measured in flying birds [16, 60]. Based on their
385 nocturnal lifestyle and sedentarity, it is tempting to speculate that kiwis may have a small
386 heart but data is missing.

387 Although all modern phylogenies support the early divergence of paleognaths in the
388 evolution of Neornithes, there are differences in the proposed phylogenetic relationships
389 between ratites and tinamous. Morphological phylogenies support an early divergence of
390 tinamous followed by ratites as a derivate monophyletic group [20, 61-64]. This scenario is
391 in line with our hypothesis of the plesiomorphy of tinamou traits and implies the secondary
392 loss of flight in ratites. Molecular phylogenies, on the other hand, nest tinamous inside
393 ratites [21, 65-71]. At first sight, this could imply that tinamous acquired flight secondarily
394 from flightless ancestors but we find it highly unlikely. Our suggestion, which is
395 compatible with molecular phylogenies, is that the ancestral paleognath was capable of
396 flight (a NSFF type) and lost it multiple times in different Ratite lineages [72]. Living
397 tinamous, descendants of some line of flying paleognaths, conserved NSFF and their
398 ancestral cardiovascular traits.

399 The second potential conflict relates to the flight capabilities of extinct paleognaths
400 inferred the dispersed geographical location of fossil findings. The more recent molecular
401 calibrations date the origin of Neornithes and the splice of Palaeognathae and Neognathae
402 in the Late Cretaceous [21, 73], estimations congruent with the scarce fossil record of this
403 period [74]. Although neognath fossils are best preserved [75, 76], fragmentary postcranial
404 material of *Iaceornis* [77] could represent the earliest palaeognath present in the Late
405 Cretaceous [74], but no solid fossil evidence of a Cretacic palaeognath with NSFF exists.
406 The first paleognath fossils are from the Paleocene (60-66 million years ago) and

407 correspond to flightless ratites from Europe and South America [78, 79]. Tinamous appear
408 in the fossil record much later, in the early Miocene, 16.5 million years ago [80]. The best
409 preserved non-Ratite palaeognathae fossils are the medium-sized volant Lithornithiforms
410 known from the Paleocene and Eocene layers of North America and Europe [81, 82]. They
411 are taxonomically rooted with all modern birds, either as a sister taxa of all the Neornithes
412 [20, 82], as sister taxa of all other paleognaths [72] or as a sister taxa of tinamous [64, 80,
413 83]. Based on morphological traits from fossil findings, Lithornithiformes were described
414 as capable of sustained flight [81], and this suggestion was later used to justify the
415 outcomes of recent molecular paleognath phylogenies that place for example New Zealand
416 kiwis as the closest relatives of Madagascar elephant birds, or South American tinamous
417 clustering with New Zealand moas [69, 70, 72, 84]. Counter to this argument, the
418 distribution of several tetrapod fossils is congruent with the presence of ephemeral land
419 bridges in the Late Cretaceous between continental land masses [85, 86] and geologic
420 evidence show that it was possible [87]. Then, the possibility that hypothetical ancient
421 palaeognath birds with tinamou-like cardiovascular physiology and NSFF dispersed
422 walking widely in Late Cretaceous and Early Cenozoic [88] could explain the known
423 distribution of fossil and current palaeognathae in agreement with our hypothesis.

424 Our results highlight the crucial importance of physiology, specifically cardiac
425 physiology to understand more completely the evolution of the avian flight. The
426 mechanical and aerodynamic interpretations inferred from the fossils need to consider that
427 this machinery needs a power source, the heart, and that final flight performance depends
428 on it.

429

430

431 **MATERIAL AND METHODS**

432 **Animals** Adult Ornate Tinamous *Nothoprocta ornata* for the physiological experiments
433 were born in captivity from a founding group of captured wild birds or artificially incubated
434 wild eggs obtained in the surroundings of the town of Qurpa, Bolivia (3800 meters above
435 sea level). Animals were held at the animal facilities in the Cota-Cota campus (3420 m,
436 Universidad Mayor de San Andrés, UMSA, La Paz, Bolivia) in 8m² pens holding up to 5
437 animals per pen and exposed to natural conditions. Animals were fed *ad libitum*. Adult and
438 juvenile Ornate Tinamous for the anatomical studies were hunted with shotgun mainly
439 during the dry season (May to July) in several localities in the Bolivian high plateau
440 between 3800 and 4300 m. Hunting and animal maintenance were carried under the
441 permission for scientific studies from the Bolivian General Direction of Biodiversity and
442 Protected Areas (DGBAP). Chilean Tinamous *Nothoprocta perdicaria* were obtained
443 from Tinamou Chile SL, a farm located in the city of Los Angeles (140 m, VIII Región del
444 Bío-Bío, Chile). Adult individuals at the farm were kept in mixed reproductive groups in 12
445 m² pens. Young individuals were kept in reduced groups (up to 10 individuals) in 0.5 m²
446 square holding boxes under tungsten filament bulbs used for heating. Adult Red Junglefowl
447 *Gallus gallus* were kept at the research chicken house of the University of Linköping
448 (Ljungsbro, Sweden, 71 m) in 22m² indoor pens at 19°C and under 12:12h light:dark cycle.
449 Food was provided *ad libitum*. The population has been kept under non-selected captive
450 conditions since 1993 [89]. Young individuals were kept at the hatchery on the university
451 campus in individual pens at 28°C and under 12:12 light:dark cycle with food provided *ad*
452 *libitum*.

453 Adult domesticated chickens of a bantam breed were purchased from a common
454 market in the city of La Paz, Bolivia, and used in experiments where comparisons under

455 similar environmental conditions were deemed relevant. Bantam chickens are less prone to
456 altitude-related syndromes (pulmonary hypertension and ascites) due to their smaller size
457 and slower growth and were preferred over meat or proper egg-laying breeds. Animals
458 were kept in the same facilities than the Ornate Tinamous at UMSA, La Paz, Bolivia.

459 We also used preserved specimens from 8 tinamou species: the Great Tinamou
460 *Tinamus major* (N=2), the Undulated Tinamou *Crypturellus undulatus* (N=2), the Tataupa
461 Tinamou *Crypturellus tataupa* (N=1), the Red-winged Tinamou *Rhynchotus rufescens*
462 (N=3), the Ornate Tinamou *Nothoprocta ornata* (N=2), the Andean Tinamou *Nothoprocta*
463 *pentlandii* (N=2), the White-bellied Nothura *Nothura boraquira* (N=2), and Darwin's
464 Nothura *Nothura darwini* (N=3). Specimens were collected for skin preservation purposes
465 under an experimental hunting permit from the Bolivian General Direction of Biodiversity
466 and Protected Areas (DGBAP). The bodies were preserved in ethanol 90% and deposited in
467 the Colección Boliviana de Fauna, La Paz, Bolivia.

468

469 **Ethical considerations**

470 Experiments in Bolivia were carried out under ethical license from the Animal Research
471 Ethical Committee of the Bioethical National Board (CEI-CNB) issued in December 2011.
472 Experiments in Sweden were carried out under ethical permits granted to J. Altimiras by
473 the regional ethical committee of Linköping (Dnr.25-10, 26-10, 19-11 and 9-13).

474

475 **Heart Morphometry**

476 Animals were killed by gunshot or euthanized by decapitation. Body mass was
477 immediately obtained with a spring scale and the heart was dissected out, rinsed in 0.9%
478 NaCl, immediately placed in cold cardioplegic solution (in mM: 40 NaCl, 100 KCl, 2

479 Ca_2Cl , 1.8 K_2HPO_4 , 10.1 Na_2HPO_4 , pH=7.4) to arrest the heart in diastole and kept in a
480 cold environment. Within 6 h post-mortem the hearts were dissected to remove the central
481 outflow tract, the atria and the fat deposits at the atrio-ventricular boundary and the
482 ventricles were blotted dry before weighing on a digital scale down to 0.01g resolution.
483 Right ventricular mass was obtained in a subset of hearts by weighing the free right
484 ventricular wall after dissection. The conspicuous muscular right atrioventricular valve
485 characteristic of bird species [90, 91] was also included as part of the right ventricular mass.

486 The other subset of hearts was used to measure wall thickness by embedding the
487 ventricles in cryo-medium (Tissue-Tek O.C.T., Sakura Finetek Europe, Leiden, the
488 Netherlands) and freezing and cutting in a cryostat (Microm HM 550, Thermo Fisher
489 Scientific, Walldorf, Germany) in 500 micrometer sections as previously reported [92].
490 Prior to freezing both ventricles were filled with a volume of cryo-medium corresponding
491 to half of the calculated stroke volume calculated from allometric equations ($\text{SV} = 0.175$
492 $\text{HeartMass}^{1.05}$, [93]). In preliminary tests we observed that a volume of cryo-medium
493 equivalent to the calculated stroke volume caused the right ventricular wall to rupture at the
494 time of freezing so the volume was halved to avoid wall rupture while keeping a clear
495 definition of the internal chamber diameter.

496 All cryostat sections were photographed directly on the specimen holder of the
497 cryostat at 20x magnification by mounting a USB Mediscope camera (Optilia Instruments
498 AB, Sollentuna, Sweden) in the cryostat freezing chamber. Images from each heart were
499 calibrated by placing a metallic circle of known dimensions on the specimen holder at the
500 start and the end of each session. Particular care was placed in aligning the heart on its long
501 axis before freezing to insure non-skewed cross sections. Right and left ventricular
502 thickness was taken from the last two consecutive and most caudal sections in which the

503 right atrioventricular valve (RAV) was still visible as graphically depicted in
504 Supplementary Figure 4. Unlike in mammals, the RAV in birds is muscular [90] and it is
505 very apparent in cross-sections. The thickness of the right and the left free ventricular walls
506 in each section was determined by averaging 10 single measurements that spanned the
507 entire free wall in each section using NIS- Elements Advanced Research software (Nikon
508 Instruments). To normalize for differences in heart size all measurements of wall thickness
509 were made relative to the diameter of the heart in the respective sections. The diameter was
510 estimated geometrically from the total area of the section assuming a circular shape. Hearts
511 from ethanol preserved tinamou specimens of different species were sectioned directly by
512 hand and measurements of ventricular wall thickness were done from images in the same
513 manner as above.

514 Ventricular mass data at different ages and stages of development (from embryonic
515 age to an adult mass of 700 g) was collected from different species. Data on tinamous was
516 collected for the purpose of this work. Data from Red Junglefowl and alligator was
517 compiled from other studies from our group [94-99].

518

519 **Echocardiography in conscious birds**

520 We used portable ultrasound equipment (LogicScan 64 FLT-1T, Telemed, Vilnius,
521 Lithuania) to image the heart in conscious birds in a right parasternal short-axis view as
522 previously described [100] using a 9 MHz linear probe (HL9.0/40/64D, Telemed, Vilnius,
523 Lithuania). Wall thickness of the free left ventricular wall was measured using Echo Wave
524 II software (Telemed, Vilnius, Lithuania) by focusing the probe on the transverse section
525 where the right ventricular chamber was no longer apparent as previously described [100].
526 We used tonic immobility as a way to avoid anesthesia and obtain data in conscious

527 individuals. Tonic immobility was induced by restraining a bird on its back for 15 s and
528 releasing the pressure exerted by the hand gently [101]. Ornate Tinamous went readily into
529 tonic immobility after one or two inductions while chickens required a maximum of five
530 induction attempts. Although tonic immobility can be maintained for long periods and it is
531 not harmful to the animal [102], it was kept only as long as needed for the procedure,
532 typically under 5 min.

533

534 **Cardiovascular function in anesthetized animals**

535 Anesthesia was induced in a plastic box with 4% isoflurane provided by a vaporizer
536 (Tec 3, Ohmeda). Once the animal lost equilibrium it was placed on a heating pad with a
537 loose plastic mask supplying the anesthetic gas mixture (1% Isoflurane:Oxygen) at a rate of
538 40 ml min⁻¹. Ventilation rate and heart rate were continuously monitored from
539 subcutaneous electrodes using an impedance converter (Model 2991, UFI, Morro Bay,
540 California, USA) connected to a Powerlab amplifier (Model 4/35 ADInstruments-Europe,
541 Oxford, UK) and the data was logged in a computer using LabChart 7Pro software
542 (ADInstruments-Europe, Oxford, UK). Body temperature was monitored using a cloacal
543 probe connected to the same monitoring system via a temperature pod (T-type Pod ML312,
544 ADInstruments-Europe, Oxford, UK) and maintained at an average of 40°C for Red
545 Junglefowl and 39°C for Ornate Tinamous with the use of the heating pad.

546 After a surgical plane of anesthesia was achieved by adjusting isoflurane
547 concentration in the breathing mask we proceeded to catheterize the ulnar artery in the right
548 wing with a polyethylene catheter (PE-90, 1.27 mm external diameter, 0.86 mm internal
549 diameter, Clay-Adams Intramedic, New York, USA) pulled to a thinner tip. The tip was
550 advanced a length of 10 mm into the artery in an upstream direction and secured in place

551 with sutures. The catheter was then coupled to a disposable blood pressure transducer
552 (DPT610, Peter von Berg Medizintechnik GmbH, Eglharting, Germany) connected to a
553 bridge amplifier (FE221 ADInstruments-Europe, Oxford, UK) and to the same Powerlab
554 amplifier and recording system. Access to the aorta to record cardiac output required the
555 opening of the interclavicular air sac but this interfered with gas anesthesia because a stable
556 control of inhaled isoflurane concentration could no longer be achieved. While the animal
557 was still anesthetized with isoflurane we switched to injectable anesthesia with a mixture of
558 ketamine (20 mg kg^{-1}) and xylazine (5 mg kg^{-1}) administered intraperitoneally. Once the
559 first injection took effect we removed isoflurane from the breathing gas and we injected a
560 second dose of anesthesia to achieve a final dose of 40:10 ketamine:xylazine. After the new
561 anesthesia took full effect we opened the interclavicular sac, identified the aorta after the
562 branching from the right brachiocephalic artery, freed it from connective tissue and placed
563 a perivascular transit-time Doppler flow probe (H3MB 3mm, Transonic Systems Inc.,
564 Ithaca, New York, USA) around it. The probe was connected to a flowmeter (T106,
565 Transonic Systems Inc., Ithaca, New York, USA) and this to the recording system.

566 The whole operation up to this point would typically take 60-90 min after which the
567 animal was monitored for stable cardiovascular parameters for at least 15 min. We later
568 proceeded with a bolus injection of saline solution (1 ml kg^{-1}) to discard volume effects
569 from the subsequent injection of isoproterenol, a beta-adrenergic agonist, at a dose of 3 ug
570 kg^{-1} . Fifteen minutes after injection of isoproterenol the animal was euthanized by
571 decapitation.

572 Blood pressure and heart rate were directly obtained from the physiological
573 recordings. Cardiac output was estimated as 1.61 fold the measurement of aortic blood flow.
574 This adjustment factor was obtained in a separate study in domestic chickens in which we

575 measured blood flow in the aorta and in both brachiocephalic arteries. Brachiocephalic flow
576 amounted to 32.6% of the total flow while coronary flow was estimated as 5.78% of the
577 total cardiac output [103]. These validation measurements are shown in Supplementary
578 Figure 5.

579

580 **Metabolic and thermoregulatory response to an aerobic challenge test**

581 For the aerobic challenge test both tinamou species and Red Junglefowl (or bantam
582 chickens in the case of the body temperature measurements) were moved to a larger room
583 (15-30 m²) where they were chased by a researcher and forced to carry out three short
584 flapping flights and be on the run for a period of three minutes. After this time the animals
585 were placed on their backs to trigger righting reflexes consecutively until the animals went
586 into tonic immobility. When this occurred the challenge was concluded and we proceeded
587 with the post-challenge measurements. The short duration of the challenge was dictated by
588 the low stamina shown by tinamous in previous pilot runs, which correspond well to the
589 escape behaviour described in field studies [45]. In general, tinamous prefer running to
590 flying and only take off when pressed for it [45]. After the challenge both species of
591 tinamous appeared unequivocally exhausted and displayed fast gular fluttering, but not Red
592 Junglefowl or bantam chickens.

593 The aerobic challenge test was used in three procedures carried out separately: 1)
594 blood lactate determination, 2) oxygen consumption measurements and 3) body
595 temperature monitoring.

596 Blood lactate was measured before and immediately after the challenge test taking a
597 small blood sample from an ulnar vein puncture. The blood sample was processed for
598 immediate determination of lactate concentration using a portable analyser (Lactate-Pro,

599 Arkray Inc, Kyoto, Japan). The lactate analyser has been previously validated for use with
600 bird blood [104].

601 Oxygen consumption was measured by open respirometry in a push-mode
602 configuration before and immediately after the aerobic challenge test previously described.
603 The animal was placed in a 6 liter air-tight chamber (18 cm diameter x 25 cm height)
604 equipped with two sets of tubing (Tygon R3603 3.2 x 4.8 mm) leading air in and out with a
605 controlled flow of 1200 ml min⁻¹ (FOX II Analyzer, Sable Systems International, Las
606 Vegas, USA). To avoid dilution effects by the presence of water vapor, the air sample was
607 dried through a desiccator column (30 ml of indicating drierite, anhydrous calcium sulfate
608 mixed with cobalt chloride, W. A. Hammond Drierite company Ltd, Xenia, USA). The
609 FOX II Analyzer was connected to a laptop computer (Dell Latitude D600, Dell Inc.,
610 Round Rock, Texas, USA) via a serial connection and that data was stored using a custom
611 made data acquisition program (Lab View 8.6, National Instruments, Austin, Texas, USA).
612 Chamber flow (1200 ml min⁻¹) was set according to chamber volume and predicted VO₂
613 using published recommendations [105]. Oxygen consumption was calculated using
614 standard equations for the case when water vapor but not CO₂ is stripped from the gas
615 sample [106] as follows: $V_{O_2} = \text{Flow} \times ([O_2]_{in} - [O_2]_{out}) / (1 - 0.2 \times [O_2]_{out})$ where [O₂]_{in}
616 and [O₂]_{out} are the concentrations of oxygen entering and exiting the chamber respectively.

617 Body temperature was measured using a T-type thermocouple (RET-2, MLT1403,
618 ADInstruments-Europe, Oxford, UK) inserted in the cloaca an average length of 55 mm
619 and the leading wire was fixed with tape to the root of a tail feather. The thermocouple was
620 connected to a temperature pod (T-type Pod ML312, ADInstruments-Europe, Oxford, UK),
621 a Powerlab amplifier (Model 4/35 ADInstruments-Europe, Oxford, UK) and finally the
622 data was logged in a computer using LabChart 7Pro software (ADInstruments-Europe,

623 Oxford, UK). To account for the effect of circadian rhythms all measurements were carried
624 out between 10.00 and 15.00. A bird was taken from its cage without struggle in resting
625 conditions and placed in 6-L plastic containers (18 cm diameter x 25 cm height) for a
626 period of 3 h (pre-challenge baseline). After the aerobic challenge the animal was re-
627 instrumented with the cloacal probe and immediately returned to the holding container for
628 subsequent measurements (post-challenge, 2h). Measurements were carried out at two
629 different ambient temperatures, at 25°C (range 23.2-27°C) in an incubator (Yonar
630 Incubadoras, Buenos Aires, Argentina) or 5°C (range 3.8-5.6°C) in a refrigerator (FR093R,
631 Daewoo Electronics Corp., Seoul, South Korea). Ambient temperatures in the enclosures at
632 the time the measurements were made varied between 10-15°C.

633

634 **Gene expression**

635 Myocardial tissue from the three species was obtained post-mortem and preserved in
636 RNAlater® Tissue Collection: RNA stabilization solution (Applied Biosystems, Thermo
637 Fisher Scientific, Walldorf, Germany) for 48 h at 4°C and later at -80°C prior to tissue
638 processing. Total RNA was isolated using TRIzol reagent (Thermo Fisher Scientific,
639 Walldorf, Germany) and reverse transcribed into cDNA using Revert Aid H Minus First
640 strand cDNA synthesis kit with Oligo(dT)₁₈ primers (Fermentas, Burlington, ON, Canada).
641 Quantitative real-time PCR was carried out using the Roche Light-cycler 480 (Roche
642 Applied Science, Roche Diagnostics, Basel, Switzerland) and Maxima SYBR Green qPCR
643 master mix (Fermentas, Burlington, ON, Canada). Levels of *ERK2*, *p38*, *JNK1* and
644 *PIK3CA* transcripts were normalized to the expression of *TBP*, *ACTB* and *GAPDH* using
645 the Δ Ct-method. Gene nomenclature and specific primers are provided in Supplementary
646 Table 1.

647

648 **Statistical Analysis**

649 All results are presented as average with standard deviations (SD) following the
650 guidelines of the American Physiological Society for reporting statistics [107]. Statistical
651 analysis was carried out using general linear models followed by posthoc Tukey tests
652 (Minitab v.17, MiniTab Inc, State College, PA, USA) or permutation tests (StatBoss
653 permutation tester, M.J.Lew, Department of Pharmacology, The University of Melbourne,
654 [108]). Permutation tests are adequate and more robust than parametric tests to compare
655 differences between groups [108-110].

656 Power regression analysis on cardiac growth for the different species was carried out
657 after double logarithm transformation and Model II regression analysis (orthogonal
658 regression) in Minitab (v.17, MiniTab Inc, State College, PA, USA). Model II regression
659 was preferred over Model I regression because both variables (body mass and ventricular
660 mass) are obtained experimentally and include random measurement error [111, 112].

661 Specific details on statistical procedures are detailed in each figure legend.

662

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675

676 **COMPETING INTERESTS**

677 The authors declare no financial or non-financial competing interests.

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Table 1. Relative body mass in all tinamou species recorded to date. Altitudinal and latitudinal distribution for each species were obtained from BirdLife International and NatureServe (2014) Bird Species Distribution Maps of the World. The IUCN Red List of Threatened Species. Version 2016-2. (<http://maps.iucnredlist.org/index.html>).

Species	Common name	Body mass (g)	%VM:BM	Altitudinal distribution	Latitudinal distribution
Subfamily Tinaminae (forest dwelling tinamou)					
<i>Nothocercus bonapartei</i>	Highland Tinamou	885.2	0.194 ¹	500-2500 m	11°02'N – 05°21'S
<i>Tinamus major</i>	Great Tinamou	1169.4	0.147 ¹	0-1500 m	18°55'N – 18°04'S
		1140.0	0.172 ⁵		
<i>Crypturellus soui</i>	Little Tinamou	233.0	0.194 ¹	0-2000 m	19°07'N – 22°30'S
<i>Crypturellus undulatus</i>	Undulated Tinamou	537.5	0.187 ⁵	0-900 m	08°24'N – 27°47'S
<i>Crypturellus parvirostris</i>	Small-billed Tinamou	136.8	0.341 ⁴	0-1200 m	00°23'S – 28°36'S
<i>Crypturellus tataupa</i>	Tataupa Tinamou	275.0	0.192 ⁵	0-1400 m	02°20'S – 31°35'S
Subfamily Rhynchotinae (open fields dwelling tinamou)					
<i>Rhynchotus rufescens</i>	Red-winged Tinamou	821.7	0.198 ³	0-2500 m	03°26'S – 41°10'S
		796.6	0.190 ⁵		
<i>Nothoprocta ornata</i>	Ornate Tinamou	505.0	0.235 ⁴	2500-4800 m	07°40'S – 30°00'S
		500.0	0.224 ⁵		
<i>Nothoprocta perdicaria</i>	Chilean Tinamou	395.5	0.284 ⁴	400-2000 m	28°24'S – 41°46'S
<i>Nothoprocta pentlandii</i>	Andean Tinamou	258.0	0.298 ⁵	1500-4000 m	02°50'S – 36°54'S
<i>Nothura boraquira</i>	White-bellied Nothura	310.0	0.178 ⁵	0-500 m	03°12'S – 22°43'S
<i>Nothura darwinii</i>	Darwin's Nothura	251.4	0.280 ⁴	1000-4300 m	09°24'S – 44°29'S
		197.0	0.321 ⁵		
<i>Nothura maculosa</i>	Spotted Nothura	275.0	0.265 ²	0-2300 m	05°06'S – 44°18'S

¹ From Hartman, F.A., 1961. Locomotor mechanisms of birds. Smithsonian Miscellaneous Collections 143, 1-91.

² From Dorst, J., 1972. Poids relatif du coeur chez quelques oiseaux des hautes Andes du Perou. L'oiseau et la revue française d'ornithologie 42, 66-73.

³ From De La Riboisiere, J., 1910. Recherches organométriques en fonction du régime alimentaire sur les oiseaux, Faculté des Sciences. Université de Paris, Paris.

⁴ Own data from fresh specimens

⁵ Own data from specimens preserved in ethanol

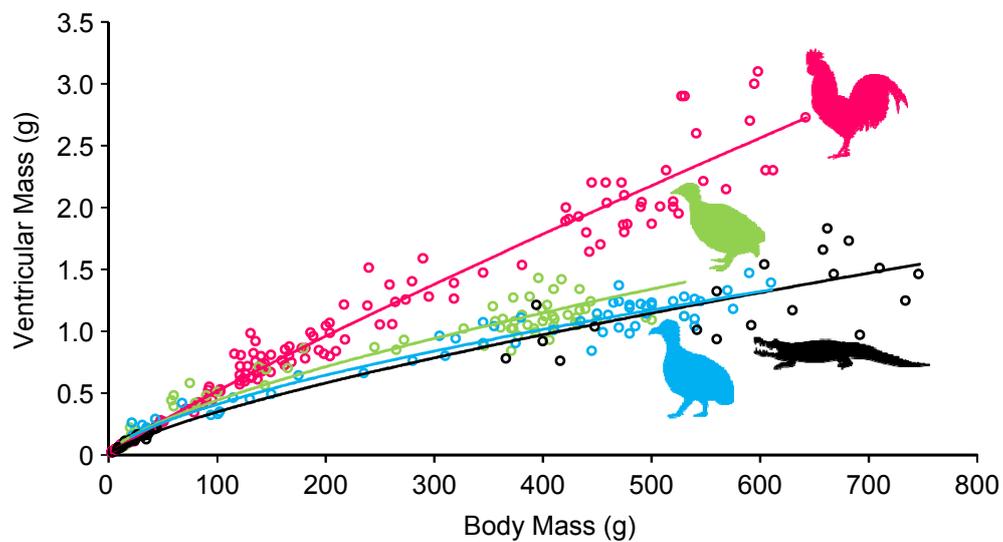


Figure 1

Cardiac growth from embryonic age to juvenile or adult age in Red Junglefowl (*Gallus gallus*) in red, Chilean Tinamou (*Nothoprocta perdicaria*) in green, Ornate Tinamou (*Nothoprocta ornata*) in blue and American alligator (*Alligator mississippiensis*) in black. Power regression lines for each species were obtained after logarithmic transformation and Model II analysis (orthogonal regression) in Minitab 17. The power regression equations are as follows: *Gg*: $VM = 0.0085 BM^{0.892}$; *Np*: $VM = 0.0186 BM^{0.688}$; *No*: $VM = 0.0207 BM^{0.649}$; *Am*: $VM = 0.0117 BM^{0.737}$.

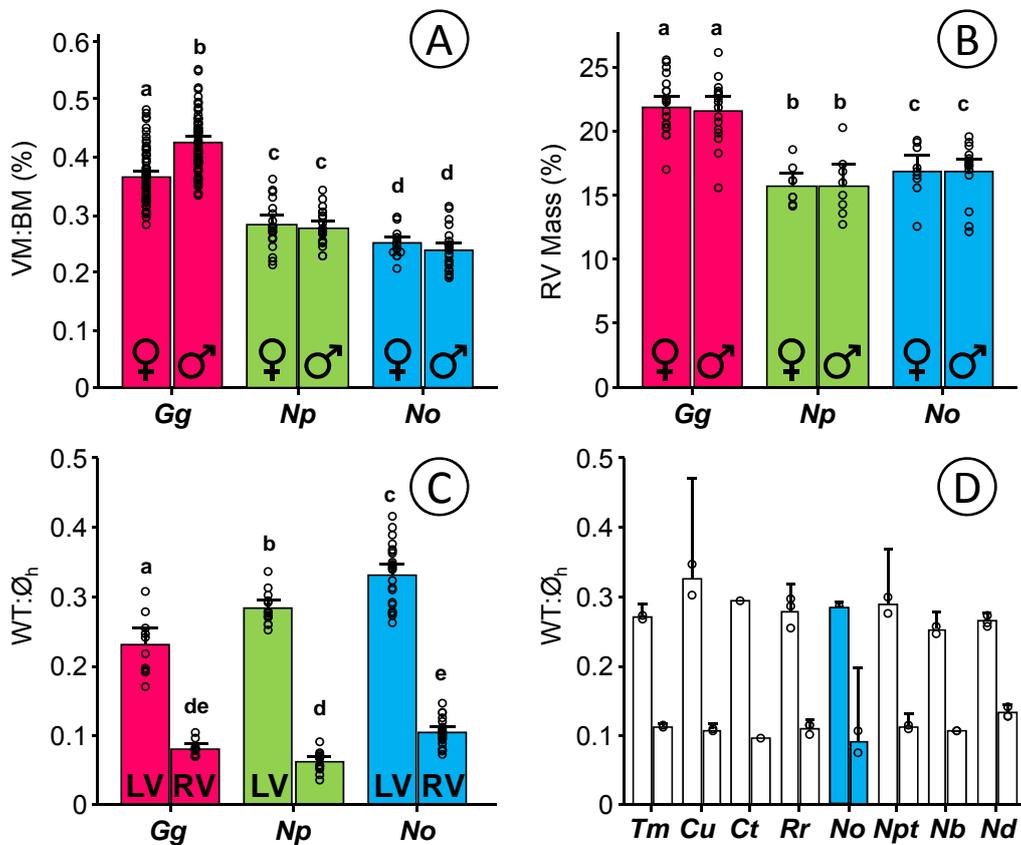


Figure 2

Comparative morphometry of the heart in adult specimens of Red Junglefowl (*Gallus gallus*, Gg), Chilean Tinamou (*Nothoprocta perdicaria*, Np) and Ornate Tinamou (*Nothoprocta ornata*, No). A) Relative ventricular mass in males and females shown as the percentage of ventricular mass to body mass (VM:BM). B) Mass of the right ventricle (RV) in males and females shown as the percentage of RV to VM. C) Left and right ventricular wall thickness normalized to the diameter of the heart ($WT:\varnothing_h$) obtained from the ventricular section showing the attachment of an incipient right atrioventricular valve to the right ventricular free wall (see Material and Methods for details). D) Normalized left and right ventricular wall thickness obtained as in panel C from ethanol preserved specimens of other tinamou species kept at the Colección Boliviana de Fauna at the Universidad Mayor de San Andrés in La Paz, Bolivia. Species nomenclature as in Table 1: Tm – *Tinamus major* (N=2), Cu – *Crypturellus undulatus* (N=2), Ct – *Crypturellus tataupa* (N=1), Rr – *Rhynchotus rufescens* (N=3), No – *Nothoprocta ornata* (N=2), Npt – *Nothoprocta pentlandii* (N=2), Nb – *Nothura boraquira* (N=2), Nd – *Nothura darwinii* (N=3). All data presented as mean and 95% confidence intervals with individual data points shown. N values as follows (in order from left to right in the different panels): A – 68,70,19,21,19,26; B – 21,17,8,8,9,15; C – 10,10,14,14,22,22. For statistical analysis for panels ABC we used general linear modeling (GLM) considering species and gender (AB) or species and ventricle (C) as factors followed by Tukey posthoc test with a customary fiduciary significant level of $p < 0.05$ (shown as dissimilar letters) in Minitab 17. No statistical analysis was performed for panel D.

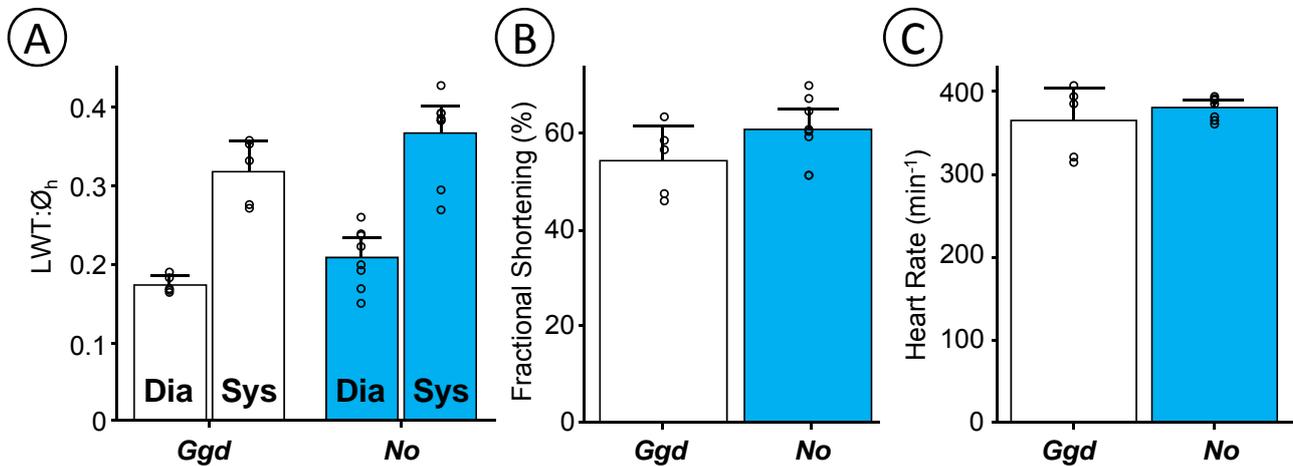


Figure 3

Functional echocardiographic measurements of the heart in conscious birds placed in supine position under tonic immobility. Bantam chickens (*Gallus gallus domesticus*, *Ggd*) were used for comparison with the Ornate Tinamou (*Nothoprocta ornata*, *No*). A) Left ventricular wall thickness normalized to the diameter of the heart (LWT:Ø_h) from a parasternal echocardiographic plane in which the right ventricular free wall is incipient but without a visible right ventricular chamber (see Material and Methods for details). B) Fractional Shortening (%) of the cardiac muscle at the same plane. C) Heart rate estimated from the time between subsequent peak systolic events in M-mode echo. All data presented as mean and 95% confidence intervals with individual data points shown (N=5 for *Ggd* and N=8 for *No*). Due to small sample size and an assumed lack of normality and homocedasticity of the data, permutation tests were used to test for differences between species using StatBoss (see Material and Methods for further details). No significant differences were found.

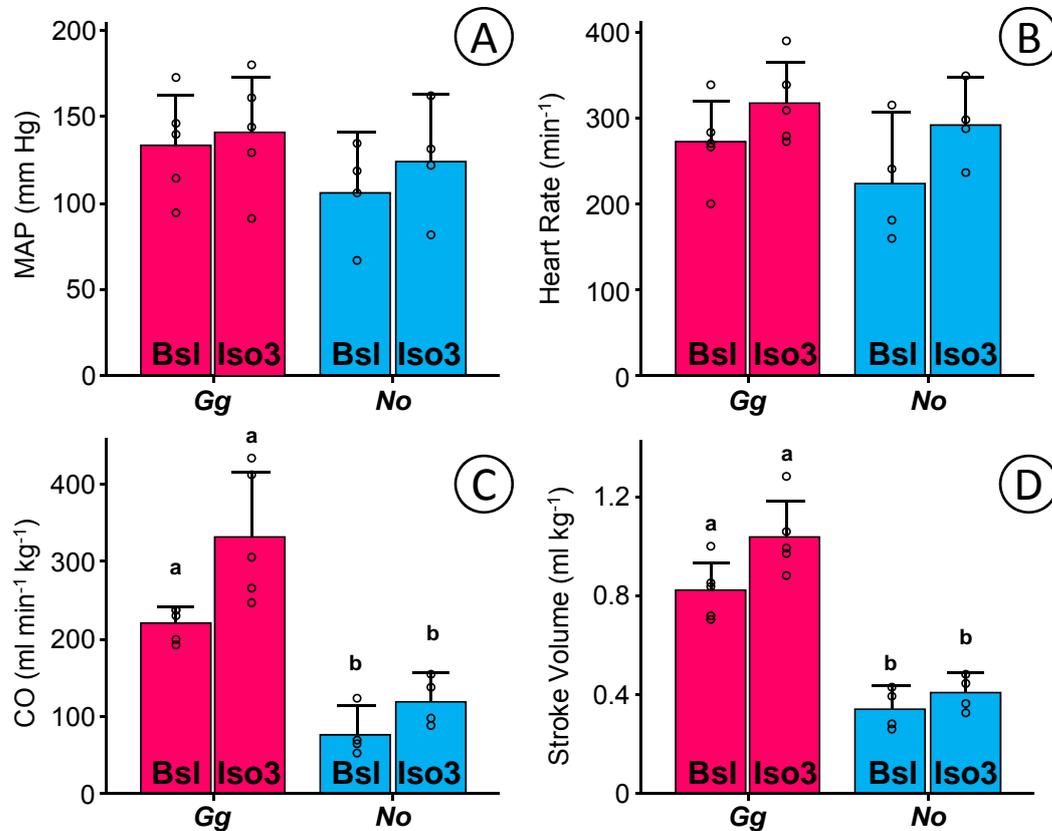


Figure 4

Functional measurements of cardiovascular variables in ketamine-xylazine anesthetized Red Junglefowl (*Gallus gallus*, *Gg*) and Ornate Tinamou (*Nothoprocta ornata*, *No*) before (Bsl – baseline) and after the administration of 3 ug kg⁻¹ of isoproterenol (Iso3). A) Mean Arterial Pressure (MAP, mm Hg) measured from an intravascular catheter in the brachial artery. B) Heart Rate calculated from the instantaneous pressure trace. C) Mass specific total Cardiac Output (CO) estimated from a transit flow probe placed in the aortic arch after the splitting of the brachiocephalic arteries (see Material and Methods and Suppl. Figure 5 for details) and D) Stroke Volume calculated from the quotient between CO and heart rate. All data presented as mean and 95% confidence intervals with individual data points shown (N=5 for *Gg* and N=4 for *No*). Due to small sample size and an assumed lack of normality and homocedasticity of the data, paired permutation tests were used to test for differences between species and for the effect of isoproterenol treatment using StatBoss (see Material and Methods for further details). A customary fiduciary significant level of $p < 0.05$ was used after compensation for multiple comparisons. Statistical differences between species but not due to treatment were seen only in panels C and D and are shown by dissimilar letters.

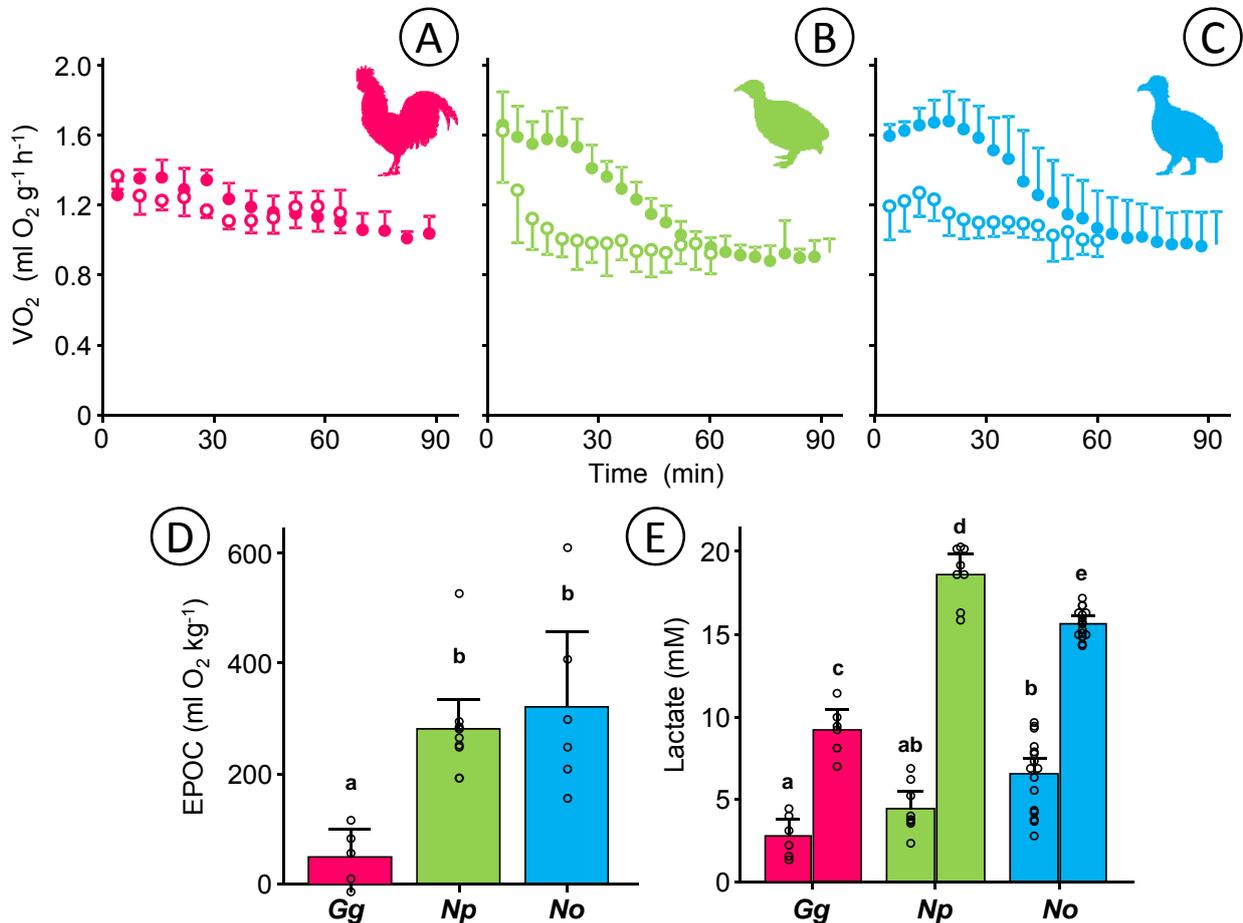


Figure 5

Metabolic measurements before and after a 3 min chase-and-exhaust protocol. ABC) Mass-specific oxygen consumption (VO_2) in adult individuals of A) Red Junglefowl (*Gallus gallus*, Gg, N=5), B) Chilean Tinamou (*Nothoprocta perdicaria*, Np, N=10) and C) Ornate Tinamou (*Nothoprocta ornata*, No, N=6). Open symbols show the data for the 60 min baseline measurements and closed symbols show the data for the 90 min following the chase protocol. D) Excess post-exercise oxygen consumption (EPOC) obtained by integrating the pre- and post-curves shown as ABC. E) Plasma lactate levels obtained using the same protocol in a separate group of individuals (Gg N=6, Np N=8, No N=16). Data in A-C presented as mean and standard deviations. Data in D-E presented as mean and 95% confidence intervals with individual data points shown. For statistical analysis we used general linear modeling (GLM) considering species (D) and species/treatment (E) as factors followed by Tukey posthoc test with a customary fiduciary significant level of $p < 0.05$ (shown as dissimilar letters) in Minitab 17. No statistical analysis was performed for panels ABC because the integrated response is considered in panel D.

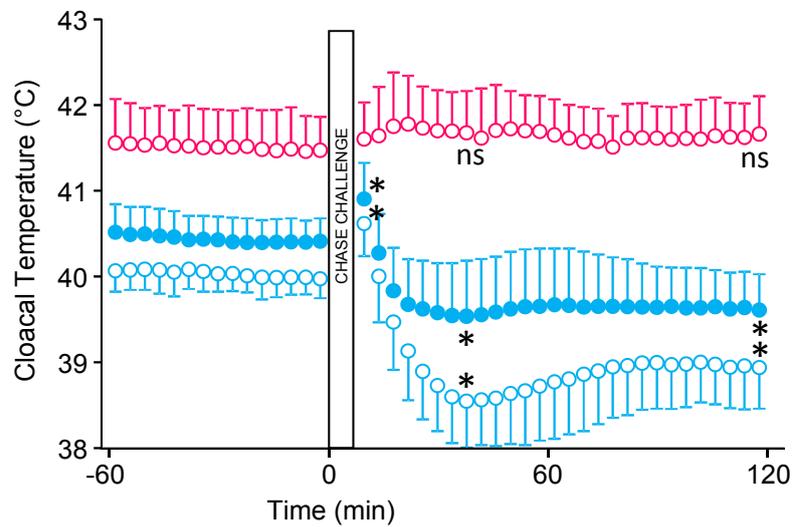


Figure 6

Cloacal temperature before and after a 3 min chase-and-exhaust protocol in Ornate Tinamou kept at an ambient temperature of 4°C (open blue symbols) and 25°C (closed blue symbols). The chase-and-exhaust protocol was carried out after a baseline measurement lasting 1 h. For comparison, data on cloacal temperature in bantam chickens kept at 4°C (open red symbols) that underwent the same protocol are shown. Data from chickens at 25°C did not differ substantially and is shown in Suppl.Fig.2. All data presented as mean and standard deviations (N=6 for both species). Paired permutation tests were used to compare baseline temperatures preceding the chase-and-exhaust protocols (120 min) with the temperatures 30 min after the test. Significant differences were observed only for the Ornate Tinamou ($p=0.03$ in both cases) and are shown by “*” in the graph. “ns” indicate no significant difference.

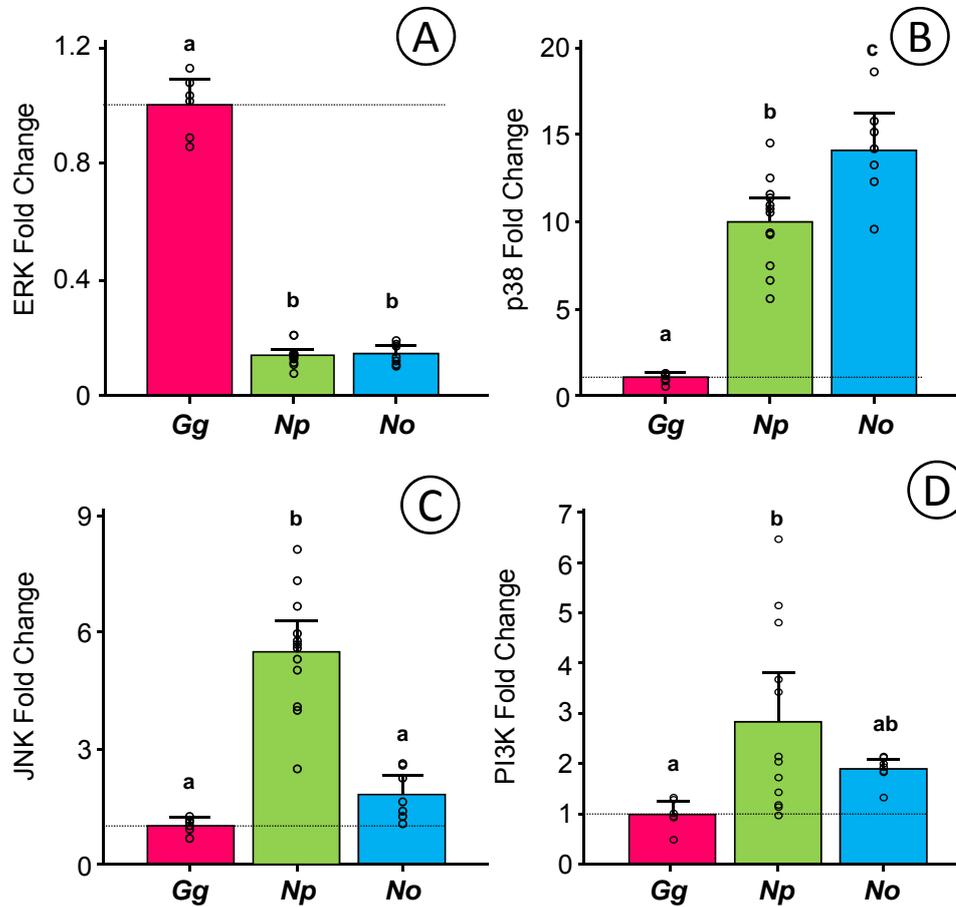


Figure 7

Relative expression of the three main MAP kinase genes: ERK (A), p38 (B) and Jnk (C) and PI3K (D) in Red Junglefowl (*Gallus gallus*, *Gg*, N=6), Chilean Tinamou (*Nothoprocta perdicaria*, *Np*, N=12) and Ornate Tinamou (*Nothoprocta ornata*, *No*, N=7). All values calculated in relation to the expression in Red Junglefowl after normalization against three housekeeping genes: GAPDH, β -actin and TBP. Dotted line indicates the reference expression level for Red Junglefowl. All data presented as mean and 95% confidence intervals with individual data points shown. For statistical analysis we used general linear modeling (GLM) considering species as a factor followed by Tukey posthoc test with a customary fiduciary significant level of $p < 0.05$ (shown as dissimilar letters) in Minitab 17.

SUPPLEMENTARY INFORMATION

Supplementary Material and Methods

The data for Suppl. Figure 1 is from a compilation of heart and body mass data for adult birds through an extensive literature search in the Zoological Record (Thomson Reuters). Details on the compilation of the data can be obtained from our companion study (1). The full dataset of average heart mass and body mass per species, sex from the different scientific studies is available online

(<http://www.ifm.liu.se/biology/zoology/avian/staff/altimiras/birdheartdatabase/index.xml>).

We obtained a pondered average heart mass per species by pooling data from males and females if available and pooling data from different studies. The pondered average was applied to give more weight to measurements obtained from multiple individuals than studies in which only one specimen was measured. We used the Jetz phylogeny to group all species in families (2). A family average was obtained for the bird families represented by nine or more species. Because of its relevance to the discussion we also grouped together three species in the superorder Ratites, the Ostrich, the Greater Rhea and the Emu.

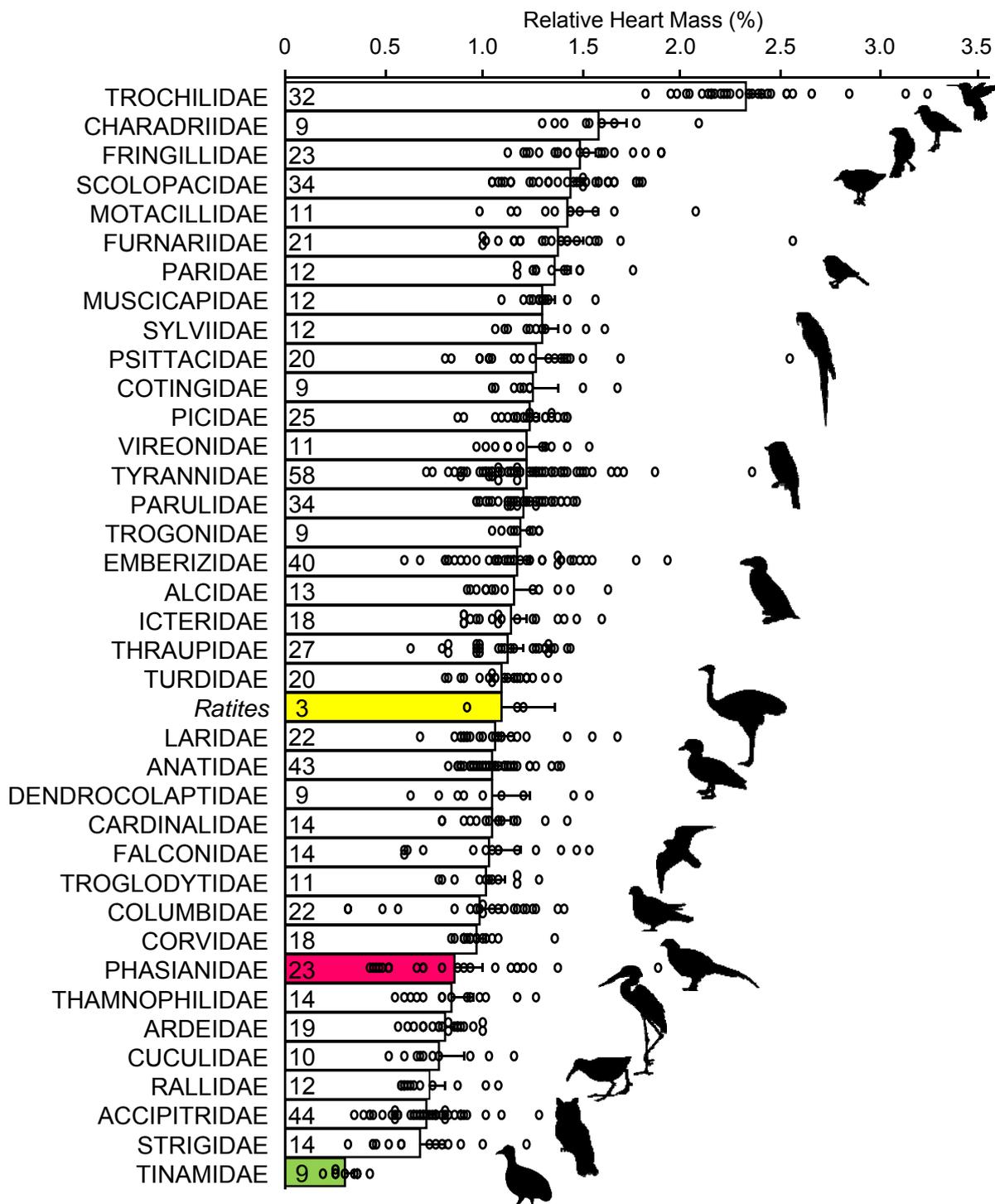
References

1. R. F. Nespolo, C. González-Lagos, J. J. Solano-Iguaran, M. Elfwing, A. Garitano-Zavala, S. Mañosa, J. C. Alonso, J. Altimiras, The adaptive evolution of flight mode and aerobic power in birds: a phylogenetic test of the heart-size hypothesis. *Physiol. Biochem. Zool.* **submitted**, (2017).
2. W. Jetz, G. H. Thomas, J. B. Joy, K. Hartmann, A. O. Mooers, The global diversity of birds in space and time. *Nature* **491**, 444-448 (2012).

Supplementary Table 1

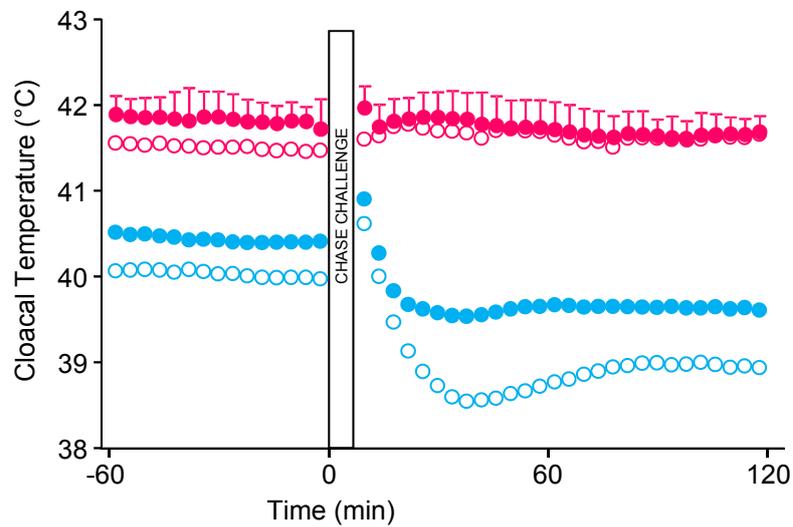
Gene identities, sequence IDs and primer sequences used in the analysis of gene expression displayed in Figure 7

Gene Name	Description	Sequence ID	Forward Primer	Reverse Primer
<i>ERK2</i>	<i>Gallus gallus</i> mitogen-activated protein kinase 1 (MAPK1), mRNA	NM_204150.1	CCAATGTGCTTCAT CGCGACCT	CTGCAACACGAGC CAGTCCG
<i>JNK1</i>	<i>Gallus gallus</i> mitogen-activated protein kinase 8 (MAPK8), predicted mRNA	Transcripts X1-X6 XM_015288441.1 XM_004942133.2 XM_015288440.1 XM_015288439.1 XM_001233168.4 XM_004942132.2	GGCTGGGAACAGA ATTTGGATG	ATTGTTGTCACGCT TGCTTCT
<i>P38</i>	<i>Gallus gallus</i> mitogen-activated protein kinase 14 (MAPK14), predicted mRNA	Transcripts X1-X2 XM_419263.4 XM_001232615.2	AGTGGGATGCATTA TGGCTGA	GGGGTTCCAACGA GTCTCAA
<i>PIK3CA</i>	<i>Gallus gallus</i> phosphoinositide 3-kinase catalytic subunit, mRNA	NM_001004410.1	CTGCGGGGAAAGC GAGATGGA	CCATCCACCACAA CAGAGCAGGC
<i>TBP</i>	<i>Gallus gallus</i> TATA-box binding protein , mRNA	NM_205103.1	GAACCACGTACTAC TGCGCT	GCCAGTCTGGACT GTTCTC
<i>ACTB</i>	<i>Gallus gallus</i> Actin, beta, mRNA	NM_205518.1	CACAGATCATGTTT GAGACCTT	CATCACAATACCA GTGGTACG
<i>GAPDH</i>	<i>Gallus gallus</i> Glyceraldehyde-3-phosphate dehydrogenase, mRNA	NM_204305.1	GTCAAGGCTGAGA ACGGGAA	GCCCATTTGATGTT GCTGGG



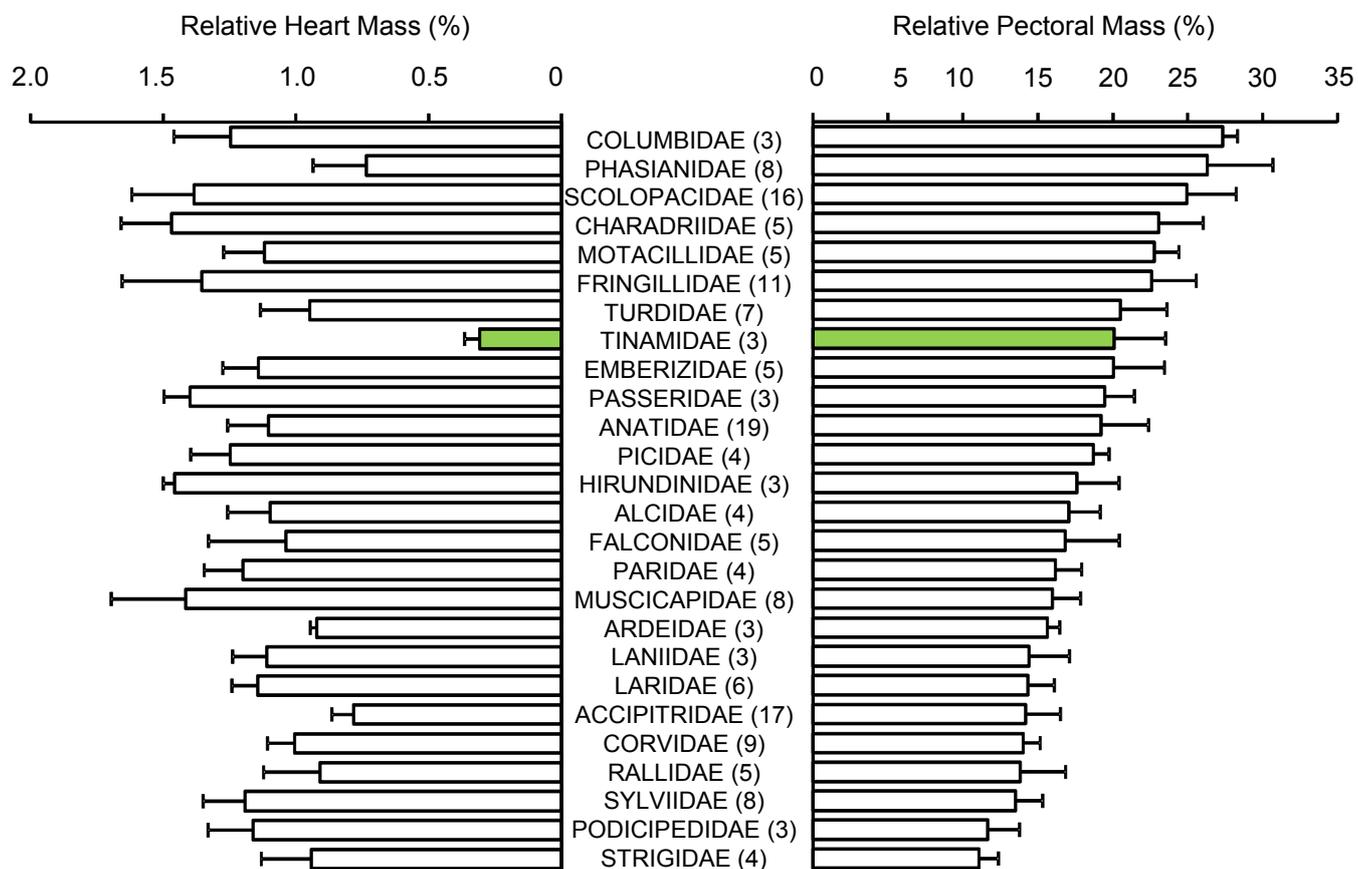
Suppl. Figure 1

Average relative heart mass in different bird families. Families shown are those represented by at least nine different species. Highlighted in color are the families Tinamidae (in green) and Phasianidae (in red, which includes the Red Junglefowl) and the superorder Ratites (in yellow), which is shown for its relevance to the discussion. The number of species included in each family is shown at the base of the bar. Data shown as average and 95% confidence intervals, with the individual data points per species shown. Further details provided in Supplementary Material and Methods.



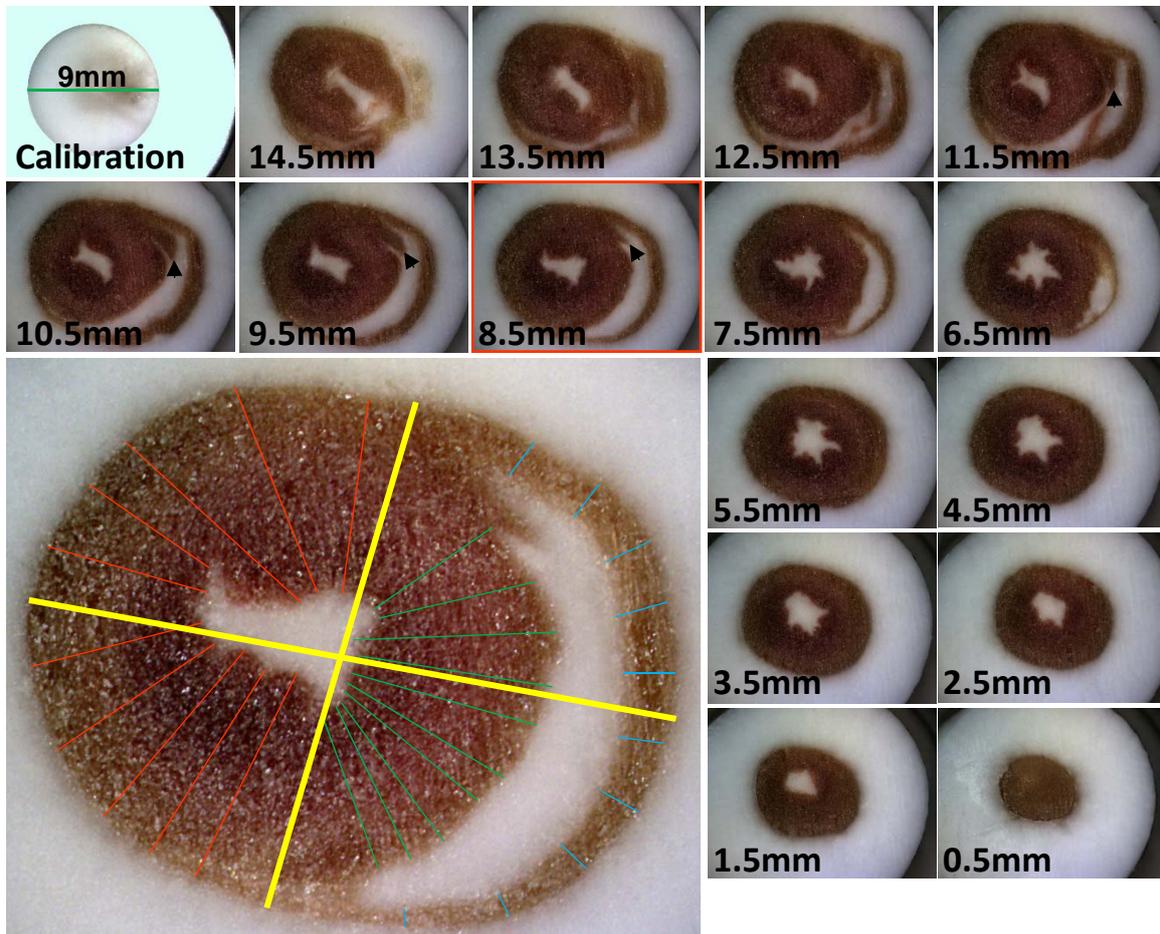
Supplementary Figure 2 (completes Figure 6 from the article)

Cloacal temperature before and after a 3 min chase-and-exhaust protocol in Ornate Tinamou (blue symbols) and domestic chickens (red symbols) kept at an ambient temperature of 4°C (open symbols) and 25°C (closed symbols). The chase-and-exhaust protocol was carried out after a baseline measurement lasting 1 h. Data from chickens at 25°C is presented fully and the data already shown in paper Figure 6 is displayed for comparison without standard deviations. No significant changes in cloacal temperature were observed in chickens at 25°C.



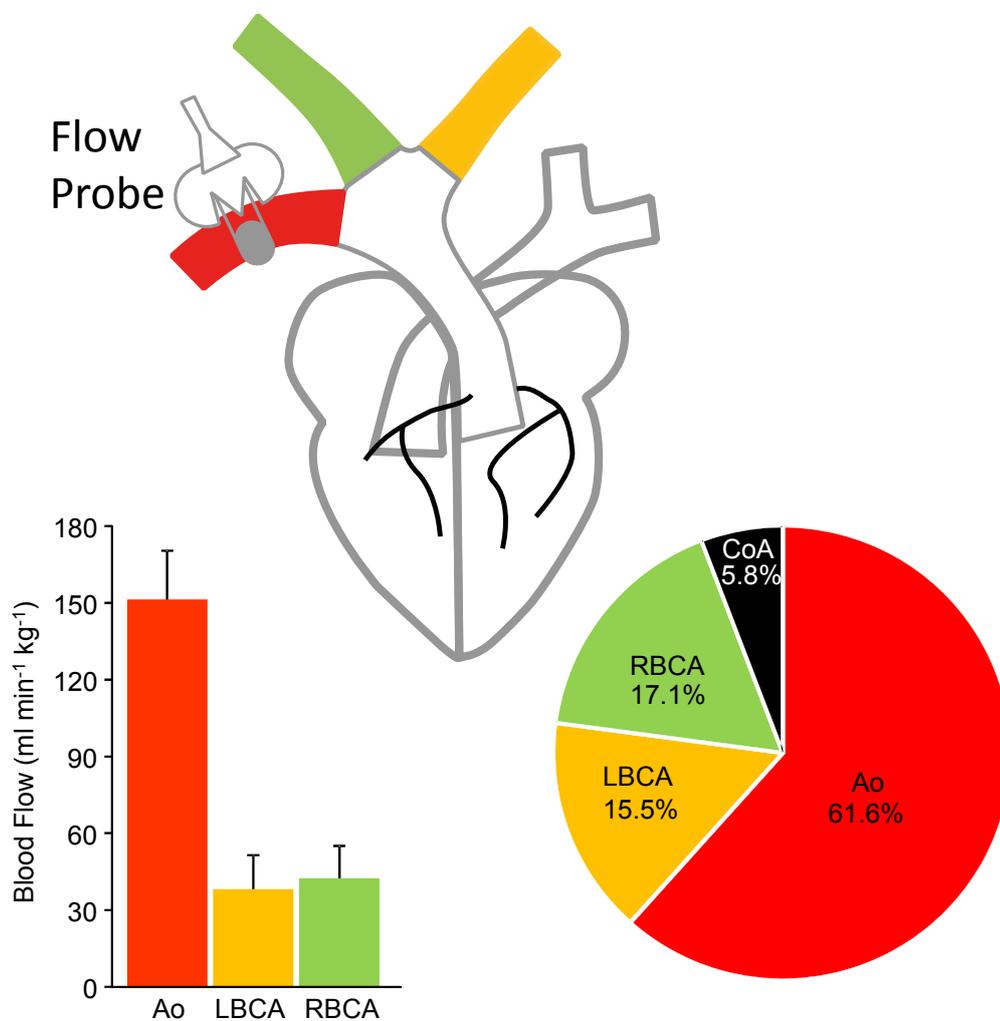
Suppl. Figure 3

Average relative heart mass (bars on the left) and relative pectoral mass (bars on the right) in different bird families represented by three or more species. Data obtained Magnan 1922 except data from Chilean and Ornate Tinamous, which are from our own measurements. Data shown as average and standard deviations. The number of species included in each family is shown in parenthesis.



Suppl. Figure 4

Pictorial description of the method for measuring ventricular wall thickness in the heart of a Chilean Tinamou *Nothoprocta perdicaria*. Sectioning was done in 500 μ m sections but only every other section is presented to show the entire heart together with one calibration picture. The sections used for analysis were two consecutive sections (only one shown, 8.5 mm from the apex of the heart) in which the right atrioventricular valve (RAVV) was still visible. Tip of the RAVV is shown by arrowheads. Notice that the RAVV becomes a muscular band with attachments on the ventral and dorsal side of the heart when closer to the base (seen in the sections 11.5 and 12.5 mm from the apex). The following measurements were taken: long and short axis (yellow lines) and 10 equidistributed measurement of the ventricular walls: free left ventricular wall (red lines), septal wall (green lines) and free right ventricular wall (blue lines). Free left ventricular wall and septal wall were averaged to represent the thickness of the left ventricle.



Supplementary Figure 5

Blood flow measurements in domestic chickens (N=4) carried out to estimate the contribution of brachiocephalic flow to total cardiac output. Flow in the left and right brachiocephalic arteries (LBCA and RBCA respectively) were measured in the same individuals after measuring flow in the aorta (Ao). Values shown as means and standard deviations. Total flows shown on the left graph. Relative flows (% of cardiac output) shown on the chart on the right. Flow in the coronary artery (CoA) was estimated as 5.8% of the total flow based on literature values in chickens. This value is likely to overestimate coronary flows in tinamous with smaller hearts, which will make the cardiac output measurements more conservative. Based on these measurements, the aortic flow values from the main study were corrected to account for brachiocephalic and coronary flows.